

Constructive approaches to Kovacic's reduced forms of linear differential systems

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Introduction (1)

$$[A] : \quad Y' = A(x)Y, \quad A \in \mathcal{M}_n(k) \text{ (take } k = C(x)).$$

$$\text{Gauge transformation} : P \in GL_n(C(x)),$$

$$Y = PZ \longrightarrow Z' = BZ, B = P^{-1}(-P' + AP)$$

Two such systems are called *equivalent* (or isomorphic) over k .

Our goals :

- ▷ among all systems equivalent to $[A]$, define a “reduced form”
- ▷ find how to characterize and compute such a “reduced form”
- ▷ apply this to integrability in hamiltonian systems

Introduction (2)

Reduction of linear differential systems : a very simple example

$Y' = AY$ with

```
> A:=array( 1 .. 2, 1 .. 2, [( 1, 1 ) = (2*x-1)/((x-1)*x^2), ( 1, 2 ) = (x-1)^2/x, ( 2, 2 ) = -(2*x-1)/((x-1)*x^2), ( 2, 1 ) = -(x^2-3*x+1)/(x^3*(x-1)^2) ] );
```

$$A := \begin{bmatrix} \frac{2x-1}{(x-1)x^2} & \frac{(x-1)^2}{x} \\ -\frac{x^2-3x+1}{x^3(x-1)^2} & -\frac{2x-1}{(x-1)x^2} \end{bmatrix} \quad (1)$$

```
> v:=Mratsolde(A,x); ## Rational Solutions, from Barkatou
```

$$v := \begin{bmatrix} (x-1) c_1 & \frac{c_1}{x} \end{bmatrix} \quad (2)$$

```
> P:=augment(v,vector([0,1/v[1]]));
```

$$P := \begin{bmatrix} (x-1) c_1 & 0 \\ \frac{c_1}{x} & \frac{1}{(x-1) c_1} \end{bmatrix} \quad (3)$$

Letting $Y = P.Z$, we have $Z' = BZ$ with

```
> B:=inverse_gauge_change(A,P);
```

$$B := \begin{bmatrix} 0 & \frac{1}{c_1^2 x} \\ 0 & 0 \end{bmatrix} \quad (4)$$

And the system cannot be simplified further without adding a new transcendental function : $\ln(x)$

Introduction (3)

$[A] : Y' = A(x)Y, \quad A \in \mathcal{M}_n(k) \text{ (take } k = C(x)\text{).}$
Differential Galois group G with Lie algebra $\mathfrak{g}$.

Definition

We say that $[A]$ is in *reduced form* if $A \in \mathfrak{g}(k)$.

In this talk, we explain

- Why this notion means “simplest form obtained without introducing new transcendents”
- Why this notion is useful, somehow *concrete* and computable (mostly)
- How to apply it to integrability (cf also G. Duval’s talk yesterday)

Result

Given a reduced (integrable) m -th variational equation, we reduce the $(m+1)$ -th variational.

I. Reduced Forms of Linear Differential Systems

Ingredient #1 : Differential Galois group

$$Y' = A(x)Y. \quad (1)$$

$k = \mathbb{C}(x)$ coefficient field

Picard-Vessiot Ext. : $K = k(U_1)$, U_1 fundamental solution matrix

Differential Galois Group : $G := \text{Aut}_\partial(K/k)$

$$\text{Aut}_\partial(K/k) := \{\sigma \in \text{Aut}(K) : \sigma|_k \equiv \text{id}_k \text{ and } \sigma \circ \partial \equiv \partial \circ \sigma\}$$

G is a group of matrices (linear algebraic group).

G stabilizes the *ideal of differential relations* between solutions

$\mathfrak{g}$, Lie algebra of G : tangent space of G at Id.

$\mathfrak{g}$ measures the transcendence of K over k .

$$\dim_{\mathbb{C}} \mathfrak{g} = \text{trdeg}(K/k).$$

Examples of linear algebraic groups and Lie algebras

G linear algebraic group, $\mathfrak{g}$ its Lie algebra.

$$N \in \mathfrak{g} \iff Id + \epsilon N \in G(C[\epsilon]), \epsilon^2 = 0.$$

- $M \in \text{SL}_n(\mathbb{C}) : \det(M) = 1 \longrightarrow N \in \mathfrak{sl}_n(\mathbb{C}) : \text{Tr}(N) = 0.$
- $M \in \text{Sp}_{2n}(\mathbb{C}) : M^T \cdot J \cdot M = J \longrightarrow N \in \mathfrak{sp}_n(\mathbb{C}) : N^T J + JN = 0.$

$$J = \begin{pmatrix} 0 & Id \\ -Id & 0 \end{pmatrix}$$

- $\mathbb{G}_a = \left\{ \begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix}, a \in \mathbb{C} \right\} \longrightarrow \mathfrak{g}_a = \text{Span}_{\mathbb{C}} \left\{ \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \right\}.$

- $\mathbb{G}_m = \left\{ \begin{pmatrix} a & 0 \\ 0 & \frac{1}{a} \end{pmatrix}, a \in \mathbb{C}^* \right\} \longrightarrow \mathfrak{g}_m = \text{Span}_{\mathbb{C}} \left\{ \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right\}$

- $M \in \text{SO}(3) : M^T M = Id \text{ \& } \det(M) = 1 \longrightarrow N \in \mathfrak{so}(3) : N^T + N = 0$

Generators : $N_1 = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, N_2 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix}, N_3 = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{bmatrix}$

Ingredient #2 : Lie Algebra associated to $A \in \mathcal{M}_n(k)$

Decompose the matrix $A \in \mathcal{M}_n(C(x))$ as

$$A := \sum_{i=1}^r a_i(x) M_i, \quad M_i \in \mathcal{M}_n(C)$$

where the $a_i \in C(x)$ are linearly independent over C .

The C -vector space generated by the M_i is unique.

→ Magnus, Wei-Norman 63/64

Definition

The Lie algebra $\text{Lie}(A)$ generated by $M_1, \dots, M_r$ will be called the *Lie algebra associated to $A(x)$*

i.e generated by the M_i and their iterated Lie brackets

Example

$$A = \begin{pmatrix} 0 & x & 0 \\ -x & 0 & x^2 \\ 0 & -x^2 & 0 \end{pmatrix} \quad M_i = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{bmatrix} \quad \text{Lie}(A) = \mathfrak{so}(3)$$

Lie Algebra associated to a Matrix (2)

$$A := \sum_{i=1}^r a_i(x) M_i, \text{ Lie}(A) := \text{Lie}(M_1, \dots, M_r).$$

Theorem (Kovacic, Kolchin)

$$\text{Lie}(Y' = AY) \subset \text{Lie}(A)$$

Example

$$A = \begin{pmatrix} 0 & x & 0 \\ -x & 0 & x^2 \\ 0 & -x^2 & 0 \end{pmatrix} \quad M_i = \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & -1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ -1 & 0 & 0 \end{bmatrix}$$

$\text{Lie}(A) = \mathfrak{so}(3)$ so $\text{Lie}(Y' = AY) \subset \mathfrak{so}(3)$.

Definition

A system $Y' = A(x)Y$ is in *reduced form* if the Lie algebra associated to $A(x)$ is the Lie algebra $\text{Lie}(Y' = AY)$ of the differential Galois group.

Existence : Kovacic's theorem (non constructive)

$$A := \sum_{i=1}^r a_i(x) M_i, \operatorname{Lie}(A) := \operatorname{Lie}(M_1, \dots, M_r). \\ G = \operatorname{Gal}(Y' = AY), \mathfrak{g} = \operatorname{Lie}(G) = \operatorname{Lie}(Y' = AY).$$

Theorem (Kovacic, Kolchin)

$$\operatorname{Lie}(Y' = AY) \subset \operatorname{Lie}(A)$$

Remark : $k = \mathbb{C}(x)$ is a $\mathbb{C}_1$ -field.

Theorem (Kovacic, Cassidy, Kolchin?)

Let $\mathfrak{h} = \operatorname{Lie}(A)$, $H = \exp(\mathfrak{h})$ connected group.
Then $G \subset H$ and $\exists P \in H(\bar{k})$ such that,
letting $Y = PF$ and $\tilde{A} = P^{-1}(AP - P')$, we have $\mathfrak{g} = \operatorname{Lie}(\tilde{A})$, i.e
 $\tilde{A}$ is a reduced form of A

So a reduced form always exists ! how to find it ??

→ back to example

Aparicio-Compoin-Weil criterion for reduced form

$$\begin{aligned} Y' &= AY, \quad V = \text{Sol}(Y' = AY) \text{ C-space.} \\ A &:= \sum_{i=1}^r a_i(x) M_i, \quad \text{Lie}(A) := \text{Lie}(M_1, \dots, M_r). \\ G &= \text{Gal}(Y' = AY), \quad \mathfrak{g} = \text{Lie}(G) = \text{Lie}(Y' = AY). \end{aligned}$$

Tensor Construction *Const* : iteration of $\otimes, \oplus, \star, \text{Sym}, \wedge$.

May construct system $Y' = \text{const}(A)Y$ whose solution space is $\text{Const}(V)$.

const vector-space morphism, *Const* group morphism.

Theorem (Aparicio-Compoin-JA. W.)

Assume G reductive + unimodular. System $[A]$ is in reduced form $\iff$ any rational solution of any $[\text{const}(A)]$ has *constant coefficients*.

Note : similar criterion if G not reductive (semi-invariants) + algorithm.

A-C-W criterion for reduced form (proof sketch)

Theorem (Aparicio-Compoint-JA. W.)

Assume G reductive + unimodular. System $[A]$ is in reduced form $\iff$ any **rational** solution of any $[\text{const}(A)]$ has **constant coefficients**.

$$A = \sum a_i(x) N_i, \text{ reduced form :}$$
$$\mathfrak{g} = \text{Span}_C(N_1, \dots, N_r) = \{N \in \mathcal{M}_n(C) \mid \forall j, D_N(P_j) = 0\}$$

$$D_{N_i}(P) = 0$$

$$\uparrow \downarrow$$

$$\mathfrak{sym}^m(N_i) \cdot v_P = 0$$

$$\uparrow \downarrow$$

$$\sum_i a_i(x) \mathfrak{sym}^m(N_i) \cdot v_P = 0$$

$$\uparrow \downarrow$$

$$\mathfrak{sym}^m(A) \cdot v_P = 0 = v'_P$$

so v_P solution in C^d (not just $C(x)$) of $Y' = \mathfrak{sym}^m(A) \cdot Y$.

and all invariants are polynomials in the P_i

Back to the log example

Reduction of linear differential systems : a very simple example

$Y' = AY$ with

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Another $SO(3)$ example

$$A = \begin{bmatrix} \frac{2x^2-2x+1}{x(-1+x^2)} & \frac{5x-3x^3+2x^5-1+x^2-x^4}{(x-1)x} & -\frac{2x^4-3x^3+x+2}{x^2(x-1)^2} \\ -\frac{(2x-1)x}{(x+1)(-1+x^2)} & -\frac{x^5-x^4-x^3+x^2+4x-1}{-1+x^2} & \frac{x^4-2x^3+2x^2+1}{(x+1)(x-1)^2x} \\ -\frac{x^2(x-1)}{x+1} & -(x-1)x(1-x^2+x^4) & \frac{x^5-2x^4+x^3+2x-1}{(x-1)x} \end{bmatrix}$$

May show (Aparicio & Compoin & J-A W. 2011) that the gauge change $Y = PZ$ with

$$P = \begin{bmatrix} \frac{(x-1)x}{-1+x^2} & 1 & 0 \\ 0 & -1+x^2 & -x^{-1} \\ 0 & 0 & \frac{1}{(x-1)x} \end{bmatrix}$$

gives $B = P[A]$ with $B = \begin{bmatrix} 0 & x & 1 \\ -x & 0 & x^2 \\ -1 & -x^2 & 0 \end{bmatrix}$

Matrix B depends only on 3 coefficients : “sparse”.

II. Symplectic linear differential systems and Integrability

Complete Integrability of Hamiltonian Systems.

A *Hamiltonian system* over domain U of $\mathbb{R}^{2n}$:

$$(S) : \begin{cases} \dot{q}_i &= \frac{\partial H}{\partial p_i}(q, p) \\ \dot{p}_i &= - \frac{\partial H}{\partial q_i}(q, p) \end{cases} \quad i = 1, \dots, n$$

where $H : U \rightarrow \mathbb{R}$ is the *Hamiltonian* function.

Condensed form : $\mathbf{x}'(t) = J \nabla H(\mathbf{x}(t))$ where $J = \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix}$

First Integral : function $I(p, q)$ that remains constant along solutions.

Liouville (complete) integrability : "sufficiently many good first integrals".

Complete Integrability (Hamiltonian) (2).

$$(S) : \quad \dot{x}(t) = J \nabla H(x(t)) = X_H(x(t))$$

Poisson Bracket :

$$\{G_1, G_2\} = \sum_{i=1}^n \left(\frac{\partial G_1}{\partial p_i} \frac{\partial G_2}{\partial q_i} - \frac{\partial G_1}{\partial q_i} \frac{\partial G_2}{\partial p_i} \right) = \langle \nabla G_1(x), J \nabla G_2(x) \rangle$$

First integral G of (S) defined by $\{H, G\} = 0$

Definition

(S) is *completely integrable* (Liouville) in a class $\mathcal{F}$ of functions if it admits n first integrals $G_1 = H, G_2, \dots, G_n \in \mathcal{F}$ such that
the G_i are functionally independent, and
in involution : $\{G_i, G_j\} = 0$

Variational Equation

For each particular solution $\phi(t, z)$ of

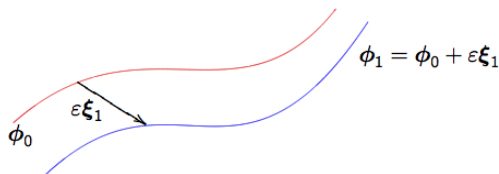
$$(S) : \left\{ \begin{array}{l} \frac{d\mathbf{q}}{dt} = \frac{\partial H}{\partial \mathbf{p}}(\mathbf{x}), \quad \frac{d\mathbf{p}}{dt} = -\frac{\partial H}{\partial \mathbf{q}}(\mathbf{x}) \end{array} \right.$$

Def : VE_ϕ^k is the differential system satisfied by $\xi_k := \partial^k \phi / \partial z^k$.

Taylor expansion along ϕ_0

For $k = 1$: the variational system is **linear** :

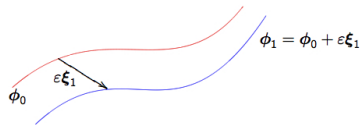
$$\boxed{\dot{\xi}_1 = A_1 \xi_1}, \quad A_1 := \text{Jac}_\phi(X) \in \text{Mat}_{2n \times 2n}(\mathbb{C}(\phi)). \quad (\text{VE}_\phi^1)$$



(S) is **Hamiltonian** , (VE_ϕ^1) is : $\dot{\xi}_1 = J \text{Hess}_\phi(H) \xi_1$.

Hamiltonian systems and linearization (2)

$$(S) : \begin{cases} \frac{d\mathbf{q}}{dt} = \frac{\partial H}{\partial \mathbf{p}}(\mathbf{x}), & \frac{d\mathbf{p}}{dt} = -\frac{\partial H}{\partial \mathbf{q}}(\mathbf{x}) \end{cases}$$



Theorem (Morales & Ramis)

(S) is Liouville integrable only if $\text{Lie}((\text{VE}_\phi^1))$ is abelian.

Our tools to apply this theorem :

Aparicio & Weil, J. Symb. Comp. 2011

- Compute a reduced form of $((\text{VE}_\phi^1))$: if not abelian then stop
- If abelian, this gives a simpler form : use that Gauge transformation to simplify higher VEs.

Higher Variational Equations

$$(S) : \dot{\mathbf{x}} = X_H(\mathbf{x}).$$

$$\text{Flow} : \phi(t, \mathbf{z}) = \phi_0(t) + \xi_1(\mathbf{z} - \phi_0) + \frac{1}{2}\xi_2(\mathbf{z} - \phi_0)^2 + \dots$$

Variational equations of order m for $\xi_m := \frac{\partial^m \phi(t, \mathbf{z})}{\partial \mathbf{z}^m} :$

$$(\text{VE}_{\phi_0}^1) : \dot{\xi}_1 = (d_{\phi_0} X_H) \xi_1$$

$$(\text{VE}_{\phi_0}^2) : \dot{\xi}_2 = d_{\phi_0}^2 X_H(\xi_1, \xi_1) + (d_{\phi_0} X_H) \xi_2$$

$$(\text{VE}_{\phi_0}^3) : \dot{\xi}_3 = d_{\phi_0}^3 X_H(\xi_1, \xi_1, \xi_1) + 3d_{\phi_0}^2 X_H(\xi_1, \xi_2) + (d_{\phi_0} X_H) \xi_3$$

$(\text{VE}_{\phi_0}^m)$ may be seen as linear differential systems $(\text{LVE}_{\phi_0}^m)$,

$$(\text{LVE}_{\phi_0}^3) : \begin{pmatrix} (\text{Sym}^3 \dot{\xi}_1) \\ \dot{\xi}_1 \bullet \dot{\xi}_2 \\ \dot{\xi}_3 \end{pmatrix} = \begin{pmatrix} \text{sym}^3 A & 0 & 0 \\ B_{2,3} & \text{sym}^2 A & 0 \\ B_{1,3} & B_{1,2} & A \end{pmatrix} \begin{pmatrix} \text{Sym}^3 \xi_1 \\ \xi_1 \bullet \xi_2 \\ \xi_3 \end{pmatrix}$$

For $n = 4$ we have **Size = 4+10+20=34 (!)**

Theorem (Morales & Ramis & Simo)

(S) is Liouville integrable only if all $\text{Lie}(\text{VE}_{\phi_0}^k)$ are abelian.

Our approach (see also G. Duval yesterday) : compute reduced forms iteratively.

Aparicio & Weil 2010, 2012 (in progress)

Partial reduction for (LVE_{ϕ}^{m+1})

Variational Equation of order $m+1$:

$$(VE_{m+1}) : Y' = A_{m+1} Y \quad \text{with} \quad A_{m+1} = \begin{pmatrix} \boxed{\text{sym}^{m+1}(A_1)} & 0 \\ \boxed{B} & \boxed{A_m} \end{pmatrix}$$

Q_m reduces VE_m $m \geq 1 \rightarrow P_{m+1}$ (partially) reduces VE_{m+1} with :

$$P_{m+1} := \begin{pmatrix} \boxed{\text{Sym}^{m+1}(Q_1)} & 0 \\ 0 & \boxed{Q_m} \end{pmatrix}$$

So we reduce **for free** diagonal part of $(VE_{m+1}) : Y' = P_{m+1}[A_{m+1}]Y$

$$A_{m+1} = \begin{pmatrix} \boxed{\text{sym}^{m+1}(A_{1,red})} & 0 \\ \boxed{B} & \boxed{A_{1,red}} \end{pmatrix}$$

Partial reduction for (LVE_{ϕ}^{m+1}) (continued)

We know :

- Q_1 reduces $A_1 \rightsquigarrow Q_1[A_1] \in Lie(Y' = A_1 Y)$
- Q_m reduces $A_m \rightsquigarrow Q_m[A_m] \in Lie(Y' = A_m Y)$

Then :

- $Sym^{m+1}Q_1[sym^{m+1}A_1] \in Lie(Y' = sym^{m+1}A_1 Y)$
- $P_{m+1} := \begin{bmatrix} Sym^{m+1}Q_1 & 0 \\ 0 & Q_m \end{bmatrix}$ partially reduces A_{m+1}

we have

$$P_{m+1}[A_{m+1}] := \begin{bmatrix} Sym^{m+1}Q_1[sym^{m+1}A_1] & 0 \\ B & Q_m[A_m] \end{bmatrix}$$

where diagonal blocks are reduced.

We now want to reduce block B

Reduction of the lower triangular part : block B

Consider

$$A := P_{m+1}[A_{m+1}] = A_{\text{diag}} + A_{\text{sub}} = \begin{bmatrix} \text{Sym}^{m+1} Q_1[\text{sym}^{m+1} A_1] & 0 \\ B & Q_m[A_m] \end{bmatrix}$$

Let $\mathfrak{h}_{\text{diag}} := \text{Lie}(A)_{\text{sub}}$ and $\mathfrak{h}_{\text{sub}} := \text{Lie}(A)_{\text{sub}}$. We have

$$A_{\text{sub}} := \beta_1 B_1 + \dots + \beta_d B_d \text{ where } \left\{ \begin{array}{l} \beta_i \in k \\ (B_i)_{i=1}^d \text{ basis of } \mathfrak{h}_{\text{sub}} \end{array} \right\}$$

Lemma

Let $[A_{\text{diag}}, B_1] = \gamma_1 B_1 + \gamma_2 B_2 + \dots + \gamma_d B_d$ with $\gamma_i \in k$.

If $y' = \gamma_1 y + \beta_1$ admits a solution $g_1 \in k$, then

$$P := \exp(g_1 B_1) \text{ satisfies } P[A] = A_{\text{diag}} + \tilde{\beta}_2 B_2 + \dots + \tilde{\beta}_d B_d$$

i.e. $P[A]$ does NOT have any term involving B_1 .

Reduction of the lower triangular part : block B (continued)

$$A := P_{m+1}[A_{m+1}] = A_{\text{diag}} + A_{\text{sub}} = \begin{bmatrix} \text{Sym}^{m+1}Q_1[\text{sym}^{m+1}A_1] & 0 \\ B & Q_m[A_m] \end{bmatrix}$$

Lemma :

Let $[A_{\text{diag}}, B_1] = \gamma_1 B_1 + \gamma_2 B_2 + \dots + \gamma_d B_d$ with $\gamma_i \in k$.

If $y' = \gamma_1 y + \beta_1$ admits a solution $g_1 \in k$, then

$$P := \exp(g_1 B_1) \text{ satisfies } P[A] = A_{\text{diag}} + \tilde{\beta}_2 B_2 + \dots + \tilde{\beta}_d B_d$$

i.e. $P[A]$ does NOT have any term involving B_1 .

Let $\mathfrak{h}_{\text{diag}} := \text{Lie}(A)_{\text{sub}} = \text{span}(A_1, \dots, A_r)$. Maps $[A_i, \bullet] : \mathfrak{h}_{\text{sub}} \rightarrow \mathfrak{h}_{\text{sub}}$ commute so may choose B_i such that $[A_i, \bullet]$ are in simultaneous triangular form.

→ Conjecture : gives effective Morales-Ramis-Simo theorem .