

Enumeration of Rota-Baxter and differential Rota-Baxter Words

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Overview

- ◆ Let \mathbf{k} be a (differential) field of characteristic zero.
- ◆ The set of **Rota-Baxter words** forms a vector space basis over \mathbf{k} for a **free Rota-Baxter \mathbf{k} -algebra** over a given set of symbols.
- ◆ Likewise, the set of **differential Rota-Baxter words** forms a vector space basis over \mathbf{k} for a **free differential Rota-Baxter \mathbf{k} -algebra** over a given set of symbols.
- ◆ These free \mathbf{k} -algebras may be considered as a (differential) Rota-Baxter analog of a polynomial ring, suitable to express **integral and differential-integral equations**.

Overview (continued)

- ◆ Enumeration of a basis is often a first step to choosing a **data representation** in implementation of algorithms involving free algebras, and in particular, free (differential) Rota-Baxter algebras and several related algebraic structures.
- ◆ This talk describes a method to **enumerate the set of (differential) Rota-Baxter words** and **outlines an algorithm** for their generation according to a quad-graded structure.
- ◆ In addition, the generating functions obtained provide counting for combinatorial structures and give rise to new integer sequences.

Rota-Baxter algebra

- ◆ A **Rota-Baxter algebra** is an associative algebra R (not necessarily unitary or commutative) together with a \mathbf{k} -linear operator $P : R \rightarrow R$ (called a **Rota-Baxter operator**) and a fixed constant $\lambda \in \mathbf{k}$ (called the **weight** of P), satisfying the identity

$$P(r_1)P(r_2) = P(r_1P(r_2) + P(r_1)r_2 + \lambda r_1r_2) \quad (1)$$

for all elements r_1, r_2 in R .

Free Rota-Baxter algebras

- ◆ Let A be a non-unitary \mathbf{k} -algebra, and let B be a given \mathbf{k} -basis of A .
- ◆ **Rota-Baxter words** (RBWs) are **certain** (finite) strings formed by concatenating elements of $b \in B$ and their images under P , iteratively. For example: $b_1 P(b_2 P(b_1))$.
- ◆ We can explicitly construct a **free Rota-Baxter algebra** $\mathbb{H}^{\text{NC},0}(A)$ over A using Rota-Baxter words on B . (Ebrahimi-Fard and Guo, 2005, 2008)
- ◆ The set of RBWs is denoted by $\mathfrak{M}^0(B)$ and if the empty word is included, by $\mathfrak{M}^1(B)$.

Free Rota-Baxter algebras on X

- ◆ Let X be an arbitrary set.
- ◆ A **free Rota-Baxter algebra on X** is defined by the usual universal property.
- ◆ It can be shown to be isomorphic to $\text{III}^{\text{NC},0}(\mathbf{k}\langle X \rangle)$, the free (non-commutative) Rota-Baxter algebra on the non-commutative polynomial algebra $\mathbf{k}\langle X \rangle$, using the canonical \mathbf{k} -basis $B = B(X)$ consisting of non-commuting monomials in X .
- ◆ A **Rota-Baxter word on X** is an element of $\mathfrak{M}^1(B(X))$.

Strings on a \mathbf{k} -basis with brackets

- ◆ We now review the construction of Rota-Baxter words from a \mathbf{k} -basis B (in particular, when $B = B(X)$).
- ◆ the product of $b_1, b_2 \in B$ in the algebra A is denoted by $b_1 b_2$ or by $b_1 \cdot b_2$.
- ◆ Let $[$ and $]$ be symbols, called brackets, and let $B' = B \cup \{[,]\}$.
- ◆ Let $S(B')$ be the free (non-commutative) semigroup generated by B' , the multiplication of which is denoted by the concatenation operator \sqcup (often omitted and not part of the string).

Rota-Baxter words (RBWs)

- ◆ A **Rota-Baxter word (RBW)** is an element w of $S(B')$ that satisfies the following conditions.
- ◆ The number of \lfloor in w equals the number of \rfloor in w ;
- ◆ Counting from the left to the right, the cumulative number of \lfloor at each location is always greater than or equal to that of \rfloor ;
- ◆ There is no occurrence of $b_1 \sqcup b_2$ in w , for any $b_1, b_2 \in B$;
- ◆ There is no occurrence of $\rfloor \lfloor$ or $\lfloor \rfloor$ in w .

Intuitive view of RBWs

- ◆ Intuitively, $P(w) = \lfloor w \rfloor$ and since $P(w_1)P(w_2)$ can be reduced by the Rota-Baxter identity, $\rfloor \lfloor$ does not occur in a RBW.
- ◆ A Rota-Baxter word w can be represented uniquely by a finite string composed of one or more elements of B , separated (if more than one b) by a left brackets \lfloor or by a right bracket \rfloor , where the set of brackets formed balanced pairs, but neither the string $\rfloor \lfloor$ nor the string $\lfloor \rfloor$ appears as a substring.
- ◆ For example, when $B = \{ b \}$, the word $w = \lfloor \lfloor b \rfloor b \lfloor b \rfloor \rfloor b \lfloor b \rfloor$ is an RBW, but $\lfloor b \lfloor b \rfloor$, $\lfloor b^2 \rfloor$, $\lfloor b \rfloor \lfloor b \rfloor$, $b \rfloor b \lfloor b$, and $\lfloor b \rfloor \rfloor b$ are not.

The diamond product for Rota-Baxter words

- ◆ Let B be a \mathbf{k} -basis of a k -algebra A .
- ◆ Let $\mathbb{III}^{\text{NC},0}(A)$ be the free \mathbf{k} -module with basis $\mathfrak{M}^0(B)$ (set of RBWs on B without the empty word).
- ◆ Consider the following properties where \diamond is the intended multiplication operation in $\mathbb{III}^{\text{NC},0}(A)$:

$$\begin{aligned}b \diamond b' &= b \cdot b' \\b \diamond [w] &= b[w] \\[w] \diamond b &= [w]b \\[w] \diamond [w'] &= [[w] \diamond w'] + [w \diamond [w']] + \lambda[w \diamond w']\end{aligned}$$

for all $b, b' \in B$ and all $w, w' \in \mathfrak{M}^0(B)$.

Construction of free Rota-Baxter algebra

◆ **Theorem.** (Guo). These properties uniquely define an associative bilinear product \diamond on $\mathbb{III}^{\text{NC},0}(A)$. This product, together with the linear operator

$$P_A : \mathbb{III}^{\text{NC},0}(A) \rightarrow \mathbb{III}^{\text{NC},0}(A), \quad P_A(w) = [w] \text{ if } w \in \mathfrak{M}^0(B),$$

and the natural embedding

$$j_A : A \rightarrow \mathbb{III}^{\text{NC},0}(A), \quad j_A(b) = b \text{ if } b \in B,$$

makes $\mathbb{III}^{\text{NC},0}(A)$ the free (non-unitary, non-commutative) Rota-Baxter algebra over A .

Leaf-decorated rooted trees and forests

- ◆ Let $\mathcal{T}(X)$ be the set of (planar) **rooted trees with leaves decorated by X** .
- ◆ Let $\mathcal{F}(X)$ be the set of **forests of (planar) rooted trees with leaves decorated by X** (that is, $\mathcal{F}(X)$ is the set of finite tuples with entries in $\mathcal{T}(X)$). Forests can be concatenated to form larger forests.
- ◆ If $F = (T_1, \dots, T_b)$ is a forest, we can define its **grafting** $\lfloor F \rfloor$ to be the $T \in \mathcal{T}(X)$ formed by adding a root and connecting this root to the roots of T_1, \dots, T_b .
- ◆ If $T \in \mathcal{T}(X)$ is a tree, we can define a forest F by removing its root. We denote F by \overline{T} .

Leaf-spaced forests

- ◆ Let $\mathcal{F}_\ell(X)$ be the subset of $\mathcal{F}(X)$ consisting of forests that do not have a vertex with adjacent non-leaf branches. These are called **leaf-spaced forests**.
- ◆ A product \diamond is defined on the \mathbf{k} -vector space with basis $\mathcal{F}_\ell(X)$.
- ◆ **Theorem.**(Guo) $(\mathbf{k}\mathcal{F}_\ell(X), \diamond, \llbracket \rrbracket)$ is a free (non-unitary, non-commutative) Rota-Baxter algebra.
- ◆ $w = [a[bc]d]e\llbracket [f]g[h] \rrbracket$ is a RBW over $B(X)$ if $a, b, c, d, e, f, g, h \in X$. It corresponds to a forest in $\mathcal{F}_\ell(X)$ and $\llbracket w \rrbracket$ is a leaf-spaced tree (with e and g as separators).
- ◆ The **free non-unitary, non-commutative Rota-Baxter algebra on X** is denoted by $\mathbb{III}^{\text{NC},0}(X)$. We see that $\mathbb{III}^{\text{NC},0}(\mathbf{k}\langle X \rangle)$ is isomorphic to $\mathbf{k}\mathcal{F}_\ell(X)$ as \mathbf{k} -vector spaces.

P -degree, P -run

◆ **Example.** Let $b_1, b_2 \in B$.

$$w = \lll [\lll [b_1] b_2 [b_1] \rrr] b_1 [b_2] = \mathbf{P}^{(2)}(\mathbf{P}(b_1)b_2\mathbf{P}(b_1))b_1\mathbf{P}(b_2)$$

is an RBW in B .

- ◆ The number of balanced pairs of brackets in an RBW is called its **P -degree**. The P -degree of w in the above example is 5.
- ◆ For any RBW w , a **P -run** is any occurrence in w of consecutive compositions of $\lll \rrr$ of maximal length (that is, of immediately nested $\lll \rrr$, where the **length** is the number of consecutive applications of P).
- ◆ We denote a P -run by $P^{(\mu)}$ or $\lll \rrr^{(\mu)}$ if its run length μ is > 1 .
- ◆ The RBW w has one P -run of length 2 and three P -runs of length 1.

X -arity, x -arity, X -run and x -run

◆ **Example.** Let $x_1, x_2 \in X$ and $B = B(X)$. Then

$$w = [[[[x_1] x_2^2 [x_1]]]] x_1 x_2 [x_2]$$

is an RBW in X .

◆ When $B = B(X)$, the **X -arity** of a RBW w is the number of $x \in X$ appearing in w , counted with multiplicities. If $X = \{x_1, x_2\}$ and $B = B(X)$, the X -arity of above w is 7.

◆ If we only count appearances of x for a particular $x \in X$, we will call this the **x -arity**. The x_1 -arity of above w is 3 and its x_2 -arity is 4.

◆ For any fixed generator $x \in X$, an **x -run** is any occurrence in w of consecutive products (in $B(X)$) of x of maximal length.

X -arity, x -arity, X -run and x -run

- ◆ We denote an x -run by x^ν if its run length ν is > 1 .
- ◆ We define an **X -run** to be any occurrence in w of consecutive products (in $B(X)$) of the x 's (with whatever subscripts) of maximal length.
- ◆ As an example, let $x_1, x_2 \in X$ and let

$$w = x_1^2 x_2 P^{(2)}(P(x_1 x_2 P(x_1)) x_1) = x_1^2 x_2 [[[x_1 x_2 [x_1]]] x_1]] . \quad (2)$$

Then w has P -degree 4, with three P -runs of lengths 2, 1, and 1, and X -arity 7, with four X -runs of lengths 3, 2, 1, and 1.

Quadgrading of general RBWs

- ◆ We denote the set of RBWs by R .
- ◆ If there is only one P and one x , let $R_{u,v}$ be the set of Rota-Baxter words such that the maximum length of any P -runs is $\leq u$ and the maximum length of any x -runs is $\leq v$.
- ◆ $R_{u,v}(n, m; k, \ell)$ is the subset of $R_{u,v}$ consisting of RBWs with P -degree n , X -arity m , and having k P -runs and ℓ X -runs.
- ◆ If there are p Rota-Baxter operators and q generators, let $R_{\vec{u}, \vec{v}}$ be the set of Rota-Baxter words such that the maximum length of any P_i -runs is $\leq u_i$ and the maximum length of any x_j -runs is $\leq v_j$.
- ◆ $R_{\vec{u}, \vec{v}}(n, m; k, \ell)$ is defined similarly.

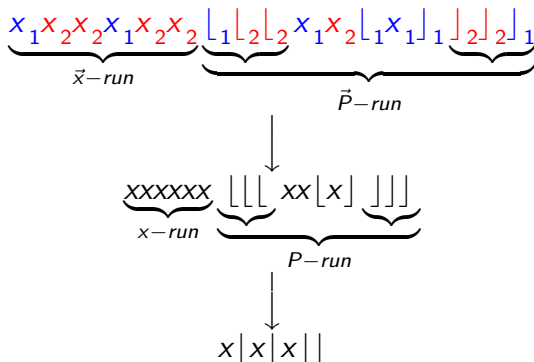
Bigrading of Idempotent RBWs

- ◆ Let $R_{1,1}$ be the set of Rota-Baxter words in one operator P and on one generator x , such that the lengths of all P -runs and x -runs are 1. These RBWs are called **idempotent**.
- ◆ For an idempotent Rota-Baxter word w , the **P -degree** is the number of times P is applied in forming w and the **x -arity** is the number of x used in forming w .
- ◆ Four subclasses of idempotent RBWs:

| | | |
|------------------------------|-------------------|---------------------|
| $\langle \mathbf{a} \rangle$ | (associate): | $x[x], x[x]x, [x]x$ |
| $\langle \mathbf{b} \rangle$ | (bracketed): | $[x]x, [x]x[x]$ |
| $\langle \mathbf{i} \rangle$ | (indecomposable): | $[x]x$ |
| $\langle \mathbf{d} \rangle$ | (decomposable): | $[x]x[x]$ |

Reduction to Idempotent RBWs

- Our enumeration approach simplifies the structure of RBWs by means of a forgetful map and a collapsing map.



Generating Function for Idempotent RBWs

- ◆ **Generating functions for the general RBWs** are obtained by understanding the structure of RBWs via compositions of integers and coloring. They can be expressed in terms of generating functions of the idempotent case.
- ◆ Let $R_{1,1}(n, m)$ be the set of RBWs in this case with P -degree n and x -arity m . Let $r_{1,1}(n, m)$ be its cardinality.
- ◆ **Theorem.** (Guo-Sit) The generating function for $r_{1,1}(n, m)$ is given by:

$$\mathbf{R}_{1,1}(z, t) := \sum_{n, m \geq 0} r_{1,1}(n, m) z^n t^m = \frac{1 - \sqrt{1 - 4zt - 4zt^2}}{2tz}. \quad (3)$$

Grading and counting Idempotent RBWs

- ◆ A **formal grammar** to describe idempotent RBWs.
- ◆ The grammar provides a **system of recurrence equations** for counts.
- ◆ Solving the recurrence system gives **generation functions**.
- ◆ **Bivariate generating function suggests an algorithm to generate RBWs recursively and irredundantly.**

Formal Grammar for $R_{1,1}$

- ◆ To define a **formal language**, we start with an alphabet Σ of **terminals** consisting of a special symbol \emptyset and the three symbols \lfloor , x , and \rfloor , a set of **non-terminals** consisting of $\langle b \rangle$, $\langle i \rangle$, $\langle d \rangle$, $\langle a \rangle$ and the sentence symbol $\langle RBW \rangle$.
- ◆ Let the **production rules** be:

$$\begin{aligned}\langle RBW \rangle &\rightarrow \emptyset \mid \langle b \rangle \mid \langle a \rangle \\ \langle a \rangle &\rightarrow x \mid x \langle b \rangle \mid \langle b \rangle x \mid x \langle b \rangle x \\ \langle b \rangle &\rightarrow \langle i \rangle \mid \langle d \rangle \\ \langle i \rangle &\rightarrow \lfloor \langle d \rangle \rfloor \mid \lfloor \langle a \rangle \rfloor \\ \langle d \rangle &\rightarrow \langle b \rangle x \langle b \rangle\end{aligned}$$


Enumeration Experiments and Observations

- ◆ Brute-force method using the production rules inductively
- ◆ **A decomposable word like $[x]x[x]x[x]$ may be derived in more than one way.**
- ◆ Many duplicates need to be removed.
- ◆ The number r_n of RBWs with n balanced pairs of brackets $n = 0, 1, 2, \dots$ are:

2, 4, 16, 80, 448, 2688, ...

- ◆ These matched the sequence to **A025225 in the Sloane database**: $2^{n+1}C_n$ where (C_n is n -th **Catalan number**).
- ◆ **Proof?**

Relationship with Catalan Numbers

- ◆ We strip the RBWs of all the x 's and obtain a **skeleton** of brackets alone.
- ◆ $[x[x]x[x[x]x]x]$ has skeleton $[[] [[]]]$.
- ◆ These correspond bijectively with **planar rooted trees on n vertices**.
- ◆ Skeleton $[[] [[]]]$, corresponds to .
- ◆ **Their counts are the Catalan numbers!**
- ◆ But how many ways are there to form RBWs using this skeleton? The total seems to be 2^{n+1} . How many are there with a fixed number m of x 's?

Recurrence System

- ◆ Let r_n, a_n, b_n, i_n, d_n respectively be the number of RBWs in the classes $\langle \text{RBW} \rangle, \langle \mathbf{a} \rangle, \langle \mathbf{b} \rangle, \langle \mathbf{i} \rangle, \langle \mathbf{d} \rangle$ using exactly n times the operator P .
- ◆ From the production rules, they satisfy, for $n > 0$:

$$\langle \text{RBW} \rangle \rightarrow \emptyset \mid \langle \mathbf{b} \rangle \mid \langle \mathbf{a} \rangle \quad \Longrightarrow \quad r_n = b_n + a_n$$

$$\langle \mathbf{a} \rangle \rightarrow x \mid x\langle \mathbf{b} \rangle \mid \langle \mathbf{b} \rangle x \mid x\langle \mathbf{b} \rangle x \quad \Longrightarrow \quad a_n = 3b_n$$

$$\langle \mathbf{b} \rangle \rightarrow \langle \mathbf{i} \rangle \mid \langle \mathbf{d} \rangle \quad \Longrightarrow \quad b_n = i_n + d_n$$

$$\langle \mathbf{i} \rangle \rightarrow \lfloor \langle \mathbf{d} \rangle \rfloor \mid \lfloor \langle \mathbf{a} \rangle \rfloor \quad \Longrightarrow \quad i_n = a_{n-1} + d_{n-1}$$

$$\langle \mathbf{d} \rangle \rightarrow \langle \mathbf{b} \rangle x \langle \mathbf{b} \rangle \quad \Longrightarrow \quad d_n = \sum_{\substack{(n_1, \dots, n_p; n) \\ p > 1}} i_{n_1} \cdots i_{n_p}$$

Compositions and Decomposable RBWs

- ◆ A **Composition of an integer** n into p positive parts is an **ordered partition** of n into p parts: $n = n_1 + n_2 + \cdots + n_p$.
- ◆ A decomposable RBW eventually becomes (or comes from) a product of indecomposables (with x separating two adjacent ones).
- ◆ If there are p indecomposables each using n_i P 's, then we have a composition of n into p parts.
- ◆ Let $(n_1, \dots, n_p; n)$ denotes the set of all compositions of n into p positive integers.
- ◆
$$d_n = \sum_{\substack{(n_1, \dots, n_p; n) \\ p > 1}} i_{n_1} \cdots i_{n_p}$$

Solving for the Generating Functions

$$\mathbf{R}(z) = \sum_{n=0}^{\infty} r_n z^n = \frac{1 - \sqrt{1 - 8z}}{2z},$$

$$\mathbf{B}(z) = \sum_{n=0}^{\infty} b_n z^n = \frac{1 - 4z - \sqrt{1 - 8z}}{8z},$$

$$\mathbf{I}(z) = \sum_{n=0}^{\infty} i_n z^n = \frac{1 - 2z - \sqrt{1 - 8z}}{2(z + 1)},$$

$$\mathbf{D}(z) = \sum_{n=0}^{\infty} d_n z^n = \frac{1 - 7z + 4z^2 + (3z - 1)\sqrt{1 - 8z}}{8z(z + 1)},$$

$$\mathbf{A}(z) = \sum_{n=0}^{\infty} a_n z^n = \frac{3 - 4z - 3\sqrt{1 - 8z}}{8z}.$$

More Experiments

- ◆ We have solve for the generating functions using the difference equations with suitable initial conditions.

- ◆ **In particular,**

$$b_n = 2^{n-1} C_n, \quad n = 0, 1, 2, \dots \quad \mathbf{A003645}$$

where $C_n = \frac{1}{n+1} \binom{2n}{n}$ **is the n -th Catalan number.**

- ◆ $r_n = 2^{n+1} C_n, \quad n = 0, 1, 2, \dots \quad \mathbf{A025225}.$

- ◆ Knowing the sequence does not enable us to enumerate RBWs of degree n , but the counts enable us to **verify the program**, which is inefficient.

Recurrence System, Again

- ◆ The key is to do a finer analysis of the recurrence equations.
- ◆ Let $r_{n,m}$, $a_{n,m}$, $b_{n,m}$, $i_{n,m}$, $d_{n,m}$ be the number of RBWs in the classes $\langle \text{RBW} \rangle$, $\langle \mathbf{a} \rangle$, $\langle \mathbf{b} \rangle$, $\langle \mathbf{i} \rangle$, $\langle \mathbf{d} \rangle$ using exactly n applications of P and exactly m x 's.
- ◆ Then for $n \geq 2$, $m \geq 2$,

$$r_{n,m} = b_{n,m} + a_{n,m}$$

$$a_{n,m} = 2b_{n,m-1} + b_{n,m-2}$$

$$b_{n,m} = i_{n,m} + d_{n,m}$$

$$i_{n,m} = d_{n-1,m} + a_{n-1,m}$$

$$d_{n,m} = \sum_{p=2}^{\min(n,m)} \sum_{(m_1, \dots, m_p; m-p+1)} \sum_{(n_1, \dots, n_p; n)} (i_{n_1, m_1}) \cdots (i_{n_p, m_p})$$

Algebraic Relations among Generating Functions

- ◆ These recurrence equations with suitable initial conditions translates easily to algebraic relations of the generating functions in two variables.
- ◆ Let $R(z, t)$, $A(z, t)$, $B(z, t)$, $I(z, t)$, $D(z, t)$ be respectively the generating functions for $r_{n,m}$, $a_{n,m}$, $b_{n,m}$, $i_{n,m}$, $d_{n,m}$.
- ◆ We get “immediately” (indeed from the **grammar**)

$$R = 1 + B + A$$

$$A = t + 2tB + t^2B$$

$$B = I + D$$

$$I = zD + zA$$

- ◆ Eliminate A and D : $(1 + z)I(z, t) - zt = z(1 + t)^2B(z, t)$

Elimination

◆ We also get $D = \sum_{p \geq 2} I^p t^{p-1}$.

◆ Since $B = I + D$, this yields

$$B(z, t) = \frac{I(z, t)}{1 - tI(z, t)}$$

◆ From these two identities involving B and I , we can solve all the generating functions.

◆ In particular, for $n \leq m \leq 2n - 1$, $n \geq 0$,

$$r_{n,m} = \binom{n+1}{m-n} C_n, \quad b_{n,m} = \binom{n-1}{m-n} C_n$$

$$r_{n,m} = b_{n,m} = 0 \text{ otherwise.}$$

◆ Now we know why summing over m gives a power of 2.

New Algorithm Suggested by Generating Functions

- ◆ B satisfies a quadratic equation after eliminating I from

$$(1+z)I - zt = z(1+t)^2 B, \quad B(1-tI) = I$$

- ◆ $B - zt = 2zt(1+t)B + zt(1+t)^2 B^2.$

- ◆ In explicit form, for $(n, m) \neq (1, 1)$:

$$\begin{aligned} b_{n,m} = & 2b_{n-1,m-1} + 2b_{n-1,m-2} + \sum_{k=1}^{n-2} \sum_{\ell=1}^{m-2} b_{k,\ell} b_{n-1-k,m-1-\ell} \\ & + 2 \sum_{k=1}^{n-2} \sum_{\ell=1}^{m-3} b_{k,\ell} b_{n-1-k,m-2-\ell} + \sum_{k=1}^{n-2} \sum_{\ell=1}^{m-4} b_{k,\ell} b_{n-1-k,m-3-\ell} \end{aligned}$$

- ◆ This last equation provides a very efficient and non-redundant algorithm to generate all bracketed RBWs.

Algorithm for RBWs in $R_{1,1}(n, m)$

- ◆ $2 b_{n-1, m-1}$: For each RBW $w \in B(n-1, m-1)$, form two RBWs $f_{1,1}(w) = \lfloor x w \rfloor$ and $f_{1,2}(w) = \lfloor w x \rfloor$.
- ◆ $2 b_{n-1, m-2}$: For each RBW $u \in B(n-1, m-2)$, form the RBWs $f_2(u) = \lfloor x u x \rfloor$
- ◆ $b_{k,\ell} b_{n-1-k, m-1-\ell}$: For each pair of RBWs $(v, y) \in I(k, \ell) \times B(n-1-k, m-1-\ell)$, form the RBW $f_3(v, y) = \lfloor v x y \rfloor$.
- ◆ $b_{k,\ell} b_{n-1-k, m-2-\ell}$: For each pair of RBWs $(v, y) \in D(k, \ell) \times B(n-1-k, m-1-\ell)$ form the RBW $f_4(v, y) = \lfloor v \rfloor x y$
- ◆ \vdots

Number Sequences and Combinatorial Objects

- ◆ We obtained parameterized generating functions.
- ◆ Same generating functions beg for natural bijections.
- ◆ Some new sequences for various z values from one generating function:

$$\mathbf{R}_{1,1}(z, t) = \frac{1 - \sqrt{1 - 4zt - 4zt^2}}{2tz}.$$

$z = 2$: 1, 3, 12, 66, 408, 2712, 18912, 136488, ... ,

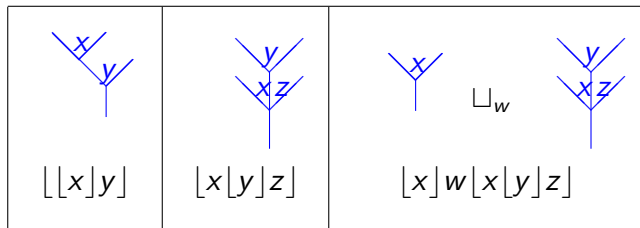
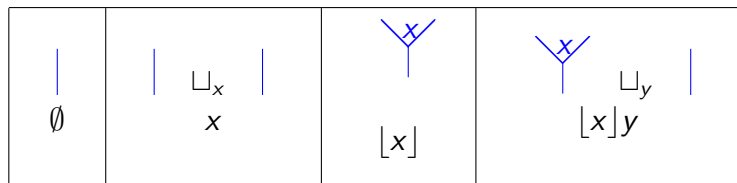
$z = 3$: 1, 4, 24, 192, 1728, 16704, 169344, ... ,

$z = 4$: 1, 5, 40, 420, 4960, 62880, 835840, ... ,

$z = 5$: 1, 6, 60, 780, 1140, 178800, 2940000, ... ,

- ◆ An example is between lattice paths and RBWs. Another example we is the correspondence between angularly decorated forests and bracketed RBWs.

Bracketed RBWs and Angularly Decorated Forests



Differential Rota-Baxter algebra

- ◆ A **differential operator** δ (of weight λ) on a \mathbf{k} -algebra \mathcal{R} is a \mathbf{k} -linear operator $\delta : \mathcal{R} \rightarrow \mathcal{R}$ satisfying the identities

$$\delta(r_1 r_2) = \delta(r_1)r_2 + r_1\delta(r_2) + \lambda\delta(r_1)\delta(r_2), \quad (4)$$

for r_1, r_2 in \mathcal{R} ,

$$\delta(1) = 0, \quad (5)$$

- ◆ A **differential Rota-Baxter algebra** is an associative algebra \mathcal{R} together with a Rota-Baxter operator P and a differential operator δ , each of weight λ such that

$$\delta \circ P = \text{id}_{\mathcal{R}}. \quad (6)$$

Free differential Rota-Baxter algebras

- ◆ Let Y be a set.
- ◆ (Guo-Keigher) A non-unitary **free differential Rota-Baxter algebra over Y** can be constructed using the free Rota-Baxter algebra $\text{III}^{\text{NC},0}(\mathbf{k}\langle X \rangle)$ over X , where $X = \{x_i \mid i \geq 1\}$ and $B(X)$ is the set of non-commutative differential monomials in y after identifying x_i with $\delta^{(i-1)}y$ for $i \geq 1$.
- ◆ A **differential Rota-Baxter word** (DRBW) is any element from the set $\mathfrak{M}^1(B(X))$ of Rota-Baxter words on the symbol set X . The set $\mathfrak{M}^1(B(X))$ is denoted by E .

Runs in DRBW's

- ◆ For any (non-commutative) differential monomial in y whose corresponding monomial is $x_{i_1} \cdots x_{i_m}$ in $B(X)$, where i_1, \dots, i_m are not necessarily distinct integers ≥ 1 , we define its **δ -arity** to be the sum $i_1 + \cdots + i_m$.
- ◆ A **P -run** is a run of consecutive P applications and an **X -run** is a run of consecutive x_i (where i may vary and repeat).
- ◆ An example of a DRBW and its corresponding RBW is

$$w = \llbracket (y^{(2)})^3 \llbracket (y^{(1)})^4 (y^{(2)})^2 \rrbracket \rrbracket = \llbracket x_3^3 \llbracket x_2^4 \cdot x_3^2 \rrbracket \rrbracket,$$

where $P(w)$ is denoted by $\llbracket w \rrbracket$. Here w has P -degree 2, with 2 P -runs of run length 1 each, and δ -arity 23, with 2 X -runs of run length 3 and 6.

Finer grading using runs

- ◆ Let n, d, k, ℓ be natural numbers.
- ◆ Let $E(n, d) \subset E$ denote the set of all RBWs with P -degree n and δ -arity d .
- ◆ Let $e(n, d)$ denote the cardinality of $E(n, d)$.
- ◆ Let $E(n, d; k, \ell)$ denote the set of all RBWs of E with P -degree n distributed into exactly k P -runs, and δ -arity d distributed into exactly ℓ X -runs.
- ◆ Let $e(n, d; k, \ell)$ denote the cardinality of $E(n, d; k, \ell)$.

Partition of integer

- ◆ Let b and m be natural numbers.
- ◆ Let $G(b, m)$ denotes the set of compositions of b into m parts.
- ◆ Let $G(b)$ the set of compositions of b .
- ◆ **Theorem.** For any natural numbers n, k, d, ℓ , we have a bijection between $E(n, d; k, \ell)$ and the set

$$R_{1,1}(k, \ell) \times G(n, k) \times \coprod_{\vec{d} \in G(d, \ell)} G(d_1) \times \cdots \times G(d_\ell) \quad (7)$$

Generating functions

◆ We have the disjoint union:

$$E(n, d) = \prod_{k=0}^n \prod_{\ell=0}^d E(n, d; k, \ell). \quad (8)$$

◆ **Theorem.** The generating function $\mathbf{E}(z, s)$ is given by

$$\mathbf{R}_{1,1} \left(\frac{z}{1-z}, \frac{s}{1-2s} \right) + \left(\frac{1}{1-z} \right) \left(\frac{1-s-s^2}{(1-s)(1-2s)} \right) - \left(\frac{1-s}{1-2s} \right)$$






where $\mathbf{R}_{1,1}(z, t)$ is given by Eq. (3).

Enumeration algorithm for compositions

- ◆ The set of compositions of any positive integer b without restriction on the number of parts can be enumerated by readily available, efficient, and well-known algorithms (see COMP_NEXT of SUBSET library in Nijenhuis and Wilf for example).
- ◆ The set of compositions of b into exactly m parts can also be enumerated by the same algorithm using a slight modification.
- ◆ We generate all compositions \vec{n} of n into exactly k parts, and all compositions $\vec{d} = (d_1, \dots, d_\ell)$ of d in $G(d, \ell)$.
- ◆ Then for each \vec{d} , we generate the compositions of d_1, \dots, d_ℓ in $G(d_1), \dots, G(d_\ell)$ respectively.

Enumeration of DRBW's

- ◆ We enumerate the entire set E of DRBW's by enumerating $E(n, d)$ for each n and d .
- ◆ Using the disjoint union in Eq. (8), we can enumerate $E(n, d)$ by enumerating $E(n, d; k, \ell)$ for given k and ℓ .
- ◆ We base our algorithm to enumerate the sets $E(n, d; k, \ell)$ of DRBW's using our Theorem.
- ◆ (Guo-Sit) We already have an efficient algorithm for the enumeration of $R_{1,1}(k, \ell)$ for any positive k, ℓ .

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